

06-26-01

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.: 5347.208

DATE: June 25, 2001

# UTILITY PATENT APPLICATION TRANSMITTAL LETTER AND FEE TRANSMITTAL FORM (37 CFR 1.53(b))

JC997 U.S. PTO  
09/891552  
06/25/01

## BOX PATENT APPLICATION

Commissioner for Patents  
Washington, DC 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is:

- ☒ a patent application  
☐ a Continuation ☐ a Divisional ☐ a Continuation-in-Part (CIP)  
 of prior application no.: ; filed  
☐ A Small Entity Statement(s) was filed in the prior application; Status still proper and desired.

Inventor(s) or Application Identifier:

Gerald Lucovsky; Gregory N. Parsons

Entitled: Novel Non-Crystalline Oxides for Use in Microelectronic, Optical, and Other Applications

## Enclosed are:

1. ☒ Application Transmittal Letter and Fee Transmittal Form (*A duplicate is enclosed for fee processing*)
2. ☒ 26 pages of Specification (including 46 claims)
3. ☒ 1 sheets of Formal Drawings (35 USC 113)
4. ☐ Oath or Declaration
  - a. ☐ newly executed (*original or copy*)
  - b. ☐ copy from prior application (37 CFR 1.63(d) (*for continuation/divisional*) [Note Box 5 Below]
  - c. ☐ DELETION OF INVENTOR(S) (*Signed statement deleting inventor(s) named in the prior application*)
5. ☐ Incorporation By Reference (*useable if box 4b is checked*)  
 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (*Appendix*)
7. ☐ Assignment papers (*cover sheet(s) and document(s)*)
8. ☐ Small Entity Statement(s)
9. ☐ Information Disclosure Statement, PTO-1449, and references cited
10. ☐ Preliminary Amendment (*Please enter all claim amendments prior to calculating the filing fee.*)
11. ☐ English Translation Document
12. ☐ Certified Copy of Application No. ; Filed

Attorney's Docket No. 5347.208

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Lucovsky et al.

Serial No.: to be assigned

Filed: Concurrently Herewith

For: NOVEL NON-CRYSTALLINE OXIDES FOR USE IN  
MICROELECTRONIC, OPTICAL, AND OTHER APPLICATIONS

June 25, 2001

DRAWING REVIEW BRANCH

Commissioner for Patents

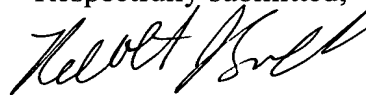
Washington, DC 20231

SUBMITTAL OF FORMAL DRAWINGS

Sir:

There is enclosed herewith one set (1 sheets) of new formal drawings.

Respectfully submitted,



Robert J. Smith

Registration No. 40,820

USPTO Customer No.:

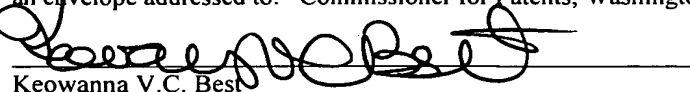


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PATENT TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on June 25, 2001.



Keowanna V.C. Best

Date of Signature: June 25, 2001

13. ☐ Sequence Listing/ Sequence Listing Diskette  
a. ☐ computer readable copy  
b. ☐ paper copy  
c. ☐ statement in support  
14. ☐ An Associate Power of Attorney  
15. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
16. ☐ Other:

The fee has been calculated as shown below:

	Column 1 No. Filed	Column 2 No. Extra	Small Entity Rate      Fee	Large Entity Rate      Fee
BASIC FEE			\$355.00	\$710.00
TOTAL CLAIMS	46 - 20 =		x 9 = \$	x 18 = \$468.00
INDEP CLAIMS	6 - 3 =		x 40 = \$	x 80 = \$240.00
<input type="checkbox"/> MULTIPLE Dependent Claims Presented			+ 135 = \$	+ 270 = \$
If the difference in Col. 1 is less than zero, Enter "0" in Col. 2			Total \$	Total \$1,418.00

- ☒ A check in the amount of \$1,418.00 to cover the filing fee is enclosed.  
☐ A check in the amount of \$      is enclosed to cover the filing fee, PLUS the Assignment Recordation fee (\$40.00).  
☐ Please charge my Deposit Account No. 50-0220 in the amount of \$      .  
☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees associated with this communication to Deposit Account No. 50-0220:  
a. ☒ Additional filing fees under 37 CFR 1.16 for presentation of extra claims.  
b. ☒ Additional patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Robert J. Smith  
Registration No. 40,820

Correspondence Address:  
USPTO Customer Number:



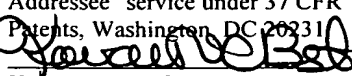
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CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EL733097726US  
Date of Deposit: June 25, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Assistant Commissioner For Patents, Washington, DC 20331

  
Keowanna V.C. Best

Date of Signature: June 25, 2001